

Stochastic expression and epigenetic memory at the yeast *HO* promoter

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Eukaryotic gene regulation usually involves sequence-specific transcription factors and sequence-nonspecific cofactors. A large effort has been made to understand how these factors affect the average gene expression level among a population. However, little is known about how they regulate gene expression in individual cells. In this work, we address this question by mutating multiple factors in the regulatory pathway of the yeast *HO* promoter (*HOpr*) and probing the corresponding promoter activity in single cells using time-lapse fluorescence microscopy. We show that the *HOpr* fires in an “on/off” fashion in WT cells as well as in different genetic backgrounds. Many chromatin-related cofactors that affect the average level of *HO* expression do not actually affect the firing amplitude of the *HOpr*; instead, they affect the firing frequency among individual cell cycles. With certain mutations, the bimodal expression exhibits short-term epigenetic memory across the mitotic boundary. This memory is propagated in “cis” and reflects enhanced activator binding after a previous “on” cycle. We present evidence that the memory results from slow turnover of the histone acetylation marks.

gene expression noise | single-cell measurement | stochastic gene expression | *HO* regulation

Chromatin plays an essential role in gene regulation. Transcription regulation pathways usually involve recruitment or dissociation of multiple nucleosome-related factors, including histone modification enzymes and remodeling machineries. Mutations in these transcriptional “cofactors” lead to changes in the nucleosome occupancy, positioning, or structure (e.g., histone modifications and variants). These nucleosome configurations significantly affect gene expression (1). Nucleosomes may also contribute to the inheritance of gene expression across cell generations. For instance, it was proposed that some histone variants/modifications could be maintained in a mitotically heritable manner, although the nature and the strength of such inheritance are a matter of debate (2, 3).

Most gene expression studies rely on bulk assays to measure the ensemble average of a large quantity of cells. These assays are efficient and insightful, but they tend to mask cell-to-cell variability and asynchronized dynamics among a population. As a result, when a factor is found to affect gene expression, it is generally unknown whether it uniformly affects all cells, changes the fraction of cells that express this gene, or modulates the gene expression dynamics without affecting the actual expression level. It is also hard to use bulk assays to study cellular inheritance, which often requires tracking of cell pedigree.

In a previous study (4), we established a system to study the relationship between nucleosomes, gene expression, and its memory at the single-cell level. Using a cell cycle-regulated *CLN2* promoter (*CLN2pr*) as a model, we found that a nucleosome-depleted region (NDR) over the activator SBF (Swi4/6) binding sites ensures reliable gene expression (4). In particular, when the SBF binding sites on *CLN2pr* are nucleosome-embedded, they induce “on/off” activation in individual cell cycles. Such bimodal activation displays short-term memory across cell cycles (i.e., when a *CLN2pr* fires in one cell cycle, the next cell cycle has a higher than average probability to fire). This work raised some important

mechanistic questions. Why does the nucleosome vs. activator competition lead to bimodal gene expression? What determines the firing frequency of the promoter? How is the memory generated? Our strategy to address these questions is to perturb the gene activation pathway by mutations of related factors and to examine the resultant change in the bimodal gene expression and the memory. Because the *HO* promoter (*HOpr*) is one of the best-characterized promoters in yeast and a large group of factors participates in its regulation (5), we decided to carry out these experiments on the *HOpr*.

The *HOpr* is cell cycle-regulated by the activator SBF, a feature common with the *CLN2pr*. However, unlike the *CLN2pr*, where SBF can simply bind and activate, SBF binding on the *HOpr* is dependent on another transcription factor, Swi5 (6–8). The *HOpr* can be roughly divided into two regulatory regions: URS1 containing two Swi5 binding sites exposed in NDRs and URS2 containing ~10 SBF binding sites embedded under a nucleosome array. As mother cells pass anaphase, Swi5 enters the nucleus; binds to the URS1; and recruits Swi/Snf, SAGA (Spt-Ada-Gcn5-acetyltransferase), and Mediator, leading to nucleosome loss over URS1. This event triggers a “wave” of nucleosome loss, first in the upstream part of URS2 and then moving downstream. The nucleosome eviction in URS2 depends on SBF and two histone chaperones, FACT (facilitates chromatin transcription) and Asf1, which, in turn, likely facilitate SBF binding. Finally, SBF recruits multiple factors, including Swi/Snf, SAGA, and Mediator, to promote the assembly of the transcription complex at TATA (9). This activation pathway does not occur in daughter cells due to the Ash1 inhibitor, which primarily accumulates in daughters (10). An Rpd3 histone deacetylase (HDAC) complex functions as a repressor of *HO* activation. Bulk assays have shown that mutations in any of the factors mentioned above, including Swi5, SBF, Ash1, Swi/Snf, SAGA, FACT, Asf1, Mediator, and Rpd3, significantly affect the average *HO* expression.

Despite extensive research on the *HO* regulation pathway, *HO* activity has never been probed in single cells. In this study, we applied time-lapse fluorescence microscopy to characterize and investigate the molecular mechanism of the stochastic *HO* expression.

Results

***HOpr* Induces On/Off Expression.** We constructed strains with the *HOpr* driving an unstable GFP reporter (11) containing a nuclear localization sequence (NLS) and monitored the GFP concentration using time-lapse fluorescence microscopy across multiple cell generations (12, 13) (Fig. 1 *A* and *D*). The half-life of the

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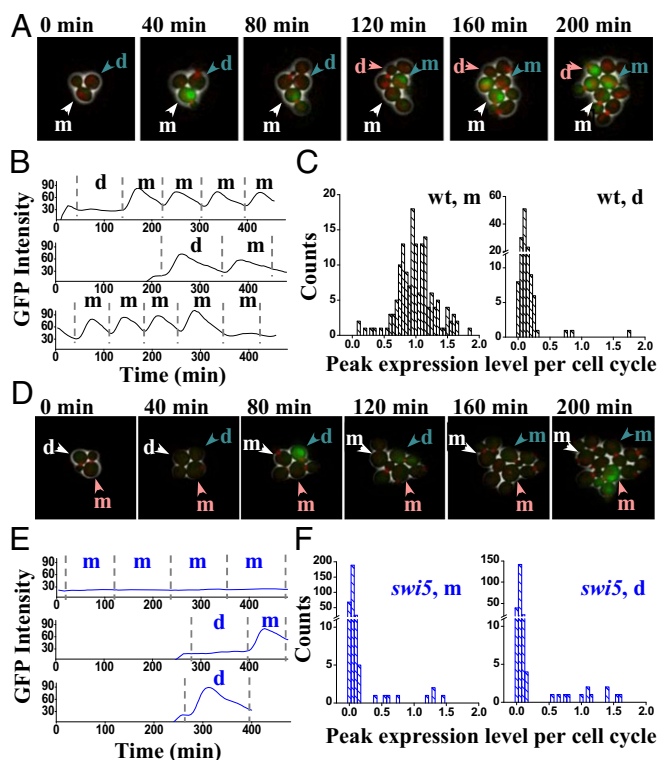


Fig. 1. Stochastic expression of *HOpr* in WT (wt) and *swi5* cells. (A) Movie clips of the growth of the wt cells (*HOpr-GFP MYO1-mCherry*). Daughter cycle (the first cell cycle after birth) and mother cycle (the subsequent cell cycles after separating with the first bud) can be clearly differentiated in the movie. The intensity of the GFP reflects the activity of the *HOpr*, and the red dot is the Myo1-mCherry. Three cells were tracked with different colored arrows. The white-labeled cell went through multiple mother cycles with GFP expressed once every cell cycle; the blue-labeled cell has one daughter cycle (no expression), followed by two mother cycles (strong expression). Both cells follow the “typical” GFP expression pattern. In contrast, the pink-labeled cell represents a rare case in which GFP is turned on during the daughter cycle. d, daughter cycle; m, mother cycle. (B) GFP intensity vs. time traces driven by *HOpr* in a single wt cell. The dashed vertical lines represent the cell division times measured by the disappearance of the Myo1 ring. (C) Histogram of the amplitude of GFP expression in wt mother and daughter cycles. The expression amplitudes are calculated as the peak-to-trough difference in the GFP signal during a given cell cycle, and they are normalized so that the average expression level in mother cycles is 1. Note the discontinuity in the y axis. (D–F) Same as in A–C, except in the *swi5* strain (DY14800). In this case, *HOpr* is repressed in most of the cell cycles (e.g., the white-labeled cell in D). However, there are rare cells with sporadic *HOpr* expression in both mother and daughter cycles (e.g., the blue- and pink-labeled cells in D). Example traces of GFP intensity (E) and a histogram of GFP expression amplitude (F) are shown.

GFP is ~40 min (13), allowing us to track the promoter activity dynamically. All the strains used in the single-cell analysis also contain Myo1-mCherry, which forms a ring at the bud neck between bud emergence and cytokinesis, and therefore serves as a marker for cell cycle progression (14). From the movie, we extracted two values: *HOpr* firing frequency [the fraction of cell cycles in which *HOpr* is turned on (P_{on})] and amplitude (expression level calculated by the peak-to-trough difference in GFP intensity per cell cycle; *Materials and Methods*).

Fig. 1B (Top) shows a typical trace of GFP intensity as a function of time driven by the *HOpr* in WT yeast. The vertical bars represent the timing of cell division based on the disappearance of Myo1-mCherry. Consistent with the literature, this trace shows no GFP expression during the first cycle after birth (the daughter cycle) and shows only transient GFP expression in subsequent mother cycles. However, we also observed occasional *HOpr* activations in

daughter cycles or “skipping” of activation in mother cycles (Fig. 1B, Middle and Bottom). These are rare events: Only 2% of mother cycles are “off,” and 2–3% of daughter cycles are “on” (Fig. 1C). In cells lacking the Swi5 inhibitor Ash1, *HOpr* was almost fully activated in daughters with a P_{on} of 94% (Table 1).

To test whether Swi5 is required for the stochastic *HOpr* expression in daughter cells, we performed the same measurements in *swi5* cells (Fig. 1D and Movie S1). As shown in Fig. 1D–F, the *HOpr* becomes largely inactive in the absence of Swi5, but there is still sporadic expression in both mother and daughter cycles with a P_{on} of 2.7% and 6.3%, respectively. In the *swi5 ash1* double mutants, the P_{on} becomes ~34% in daughters vs. ~3% in mothers (Table 1). Therefore, the stochastic activation we observed is Swi5-independent. The higher P_{on} in daughters also indicates that there is a daughter-specific activator repressed by Ash1.

Ace2 is a factor specifically localized in daughter cells, and it contains a DNA-binding domain nearly identical to that of Swi5 (15). Swi5 and Ace2 bind to the same DNA motif in vitro, but they target different genes in vivo (16, 17). Only Swi5 effectively binds to and activates *HOpr*. We speculate that Ace2 can weakly activate the *HOpr* in the absence of Swi5. Indeed, in the *swi5 ace2* double-mutant strain, the *HOpr* still shows “on/off” expression, but the P_{on} becomes ~1% in both mother and daughter cycles. The same P_{on} was observed in the *swi5 ace2 ash1* triple mutant (Table 1). This result confirmed that Ace2 can lead to stochastic firing of the *HOpr* and is largely responsible for the residual *HOpr* activation in the *swi5* daughter cells.

Importantly, despite the large change in the P_{on} through the mutations of Swi5, Ace2, and/or Ash1, the actual expression level during the on-cycle remains comparable to that of the WT mother (Fig. 1C and F and Table 1). In other words, these factors affect the firing frequency of the *HOpr* but not the firing amplitude.

Cofactors Affect the Firing Frequency and/or the Firing Amplitude of the *HOpr*. The factors we studied above (Swi5, Ace2, and Ash1) are sequence-specific transcription factors. Next, we investigated the effect of sequence-nonspecific cofactors on *HOpr* expression.

Swi/Snf nucleosome remodeling enzyme and SAGA HDAC play critical roles in *HOpr* activation. When we deleted the catalytic subunit of SAGA (*gcn5*) or introduced a mutation into the catalytic subunit of SWI/SNF [*swi2(E834K)*, a partially defective *swi2* allele], the P_{on} in mother cycles drops to ~32% and ~19%, respectively (Fig. 2A and Table 1). The mutation in *swi2* also slightly reduces the expression level during the on-cycle to ~84% of the WT (Table 1). Averaging the GFP amplitudes in all cells of all cell cycles, the *HOpr* expression in *gcn5* and *swi2* strains is at ~33% and ~14% of the WT level, respectively, consistent with bulk measurements of *HOpr* mRNA (22% and 15%, respectively), confirming that the change in GFP intensity is mostly at the transcriptional level.

The FACT histone chaperone is recruited to the *HOpr* by SBF and facilitates nucleosome eviction over URS2 (7). FACT contains two subunits, Spt16 and Pob3, which are both essential for viability. We measured the *HOpr* expression in two temperature-sensitive strains, *pob3(Q308K)* and *spt16-11*, at a semipermissive temperature of 30 °C, where FACT activity is partially impaired (7). The P_{on} in mother cycles is reduced to 79% and 72%, respectively, and the expression level during the on-cycles also mildly decreases (Fig. 2B). This effect becomes stronger when we further disabled FACT by slightly raising the temperature (Table 1).

Rpd3 is the catalytic subunit of the Sin3 complex, a highly conserved class I HDAC. Deletion of the *SIN3* subunit leads to elevated histone acetylation across the *HOpr* and higher *HOpr* expression (18). Two Rpd3 complexes, large (Rpd3L) and small (Rpd3S), were proposed to function in transcription initiation and elongation, respectively (19). Rpd3L is recruited to the *HOpr*, and *HOpr* expression is affected by Rpd3L-specific but not Rpd3S-specific mutation (20, 21). These data indicate that Rpd3L plays a major role in *HOpr* regulation, likely targeting transcription initiation. Consistently, our single-cell measurement showed that the expression of mother cycles in the *rpd3*

Table 1. *HO* expression profile in WT and mutant cells

Strain	Mother				Daughter			
	P_{on} , %	N	Amplitude (on)*	CV [†]	P_{on} , %	N	Amplitude (on)*	CV [†]
WT	98.0 ± 1.1	154	1 ± 0.02	0.31	2.3 ± 1.3	131	NA	1.36
<i>swi5</i>	2.7 ± 0.9	295	NA	2.40	6.3 ± 1.6	222	1.18 ± 0.11	2.49
<i>ash1</i>	98.4 ± 1.1	127	1.0 ± 0.02	0.27	93.5 ± 3.1	62	1.11 ± 0.06	0.41
<i>swi5ash1</i>	2.7 ± 0.8	408	0.86 ± 0.09	1.68	33.7 ± 2.5	356	1.13 ± 0.04	1.33
<i>swi5ace2</i>	~1	~490	NA	NA	~1	~490	NA	NA
<i>swi5ash1ace2</i>	~1	~440	NA	NA	~1	~440	NA	NA
<i>gcn5</i>	32.3 ± 1.9	610	0.95 ± 0.03	1.42	3.4 ± 1.2	232	NA	1.85
<i>swi2-314</i>	18.5 ± 1.8	453	0.84 ± 0.04	1.80	0	168	NA	0.66
<i>pob3</i> , 30C	78.6 ± 3.3	159	0.70 ± 0.02	0.59	1.9 ± 1.3	106	NA	0.76
<i>spt16-11</i> , 30C	71.6 ± 5.0	81	0.92 ± 0.05	0.74	7.4 ± 3.0	81	NA	1.17
<i>spt16-11</i> , 32C	58.1 ± 5.1	93	0.87 ± 0.05	0.87	6.5 ± 2.8	77	NA	1.49
<i>rdp3</i>	99.1 ± 0.9	114	1.0 ± 0.03	0.32	53.8 ± 5.2	91	1.20 ± 0.06	0.90
<i>swi5rdp3</i>	39.5 ± 3.2	228	0.99 ± 0.05	1.16	68.2 ± 3.8	151	1.29 ± 0.05	0.82
<i>swi5rdp3ace2</i>	34.6 ± 4.2	130	1.09 ± 0.07	1.30	20.0 ± 3.7	115	1.09 ± 0.15	1.83
<i>gal11</i>	92.5 ± 2.7	93	0.41 ± 0.01	0.28	16.9 ± 4.4	71	0.44 ± 0.03	0.94
<i>swi6</i>	43.3 ± 9.0	30	0.56 ± 0.04	0.86	0	27	NA	0.67

*Average expression amplitude during the “on” cell cycle normalized by that in the WT mother cells. The amplitude is listed as NA when the sample size is too small (on-cycle count <10). Error bar represents SE (same as in the P_{on}). NA, not available.

[†]Coefficient of variance (CV) of the expression amplitude among all cell cycles, including on and off, defined as the ratio of the SD to the mean.

strain remains the same as in WT but that the P_{on} in daughters dramatically increases from ~2% to over 50% (Fig. 2C). In the *rdp3 swi5* strain, *HOpr* expresses in ~68% of the daughters and ~40% in mothers (Fig. 2C). The higher expression in daughters is again due to the daughter-specific activator Ace2: If we further delete Ace2 on top of *rdp3* and *swi5*, the P_{on} in the mother cycles remains at ~35% but that in the daughter cycles is reduced to ~20% (Table 1). The activation in the absence of Swi5 and Ace2 likely reflects the enhanced accessibility of SBF to its binding sites embedded under the acetylated URS2 histones.

All the above factors affect the firing frequency of the *HOpr*. In striking contrast, with the deletion of a mediator component, Gal11, the *HO* expression level decreases uniformly among the

mother cells and its distribution remains monomodal (Fig. 2D). Among the mutant strains, *gal11* is one of the very few that shows a smaller coefficient of variance than the WT in mothers (Table 1).

In summary, factors regulate *HO* expression by changing the *HOpr* firing frequency and/or the firing amplitude. These different effects are generated because factors participate in different parts of the activation pathway (Discussion). The two orthogonal effects provide high flexibility for fine-tuning of the promoter activity. Compared with mutants, the balance between these factors in WT cells seems to be carefully adjusted to achieve the maximum difference between mothers and daughters.

“Cis” Instead of “Trans” Memory of the Bimodal *HO* Expression in *swi5* Cells.

The time-lapse movie provides information on cell pedigree, which we used to investigate the propagation (or “memory”) of the expression pattern. Note that we can only measure memory in strains exhibiting bimodal expression. For WT cells, where the *HO* expression occurs at a rate of almost 100% in mothers, memory is ill-defined. Instead, we evaluated memory in the *swi5* strain by separating the on- and off-cycles and calculating the P_{on} in the subsequent cycles. Fig. 3A shows that *HOpr* is more likely to fire after an on-cycle than after an off-cycle, but this memory quickly disappears after one to two cell cycles.

There are two general mechanisms, “trans” vs. “cis,” for such memory. Trans means that memory is generated by the variation in a global factor, such as a transcription activator. For instance, some cells may have high concentrations of free SBF or Ace2 in the nucleus, which promote *HOpr* activation. As these cells divide, the subsequent cycles may inherit the high concentrations, leading to a consecutive firing. In the case of the cis mechanism, memory is stored in the local chromatin environment. One possible scenario is that after the first activation event, some factors or histone marks are left on the *HOpr* that facilitate its activation in the next cycle. These two possibilities can be differentiated by using two copies of the same promoter driving different fluorescence reporters in the same cell. If the memory is generated in trans, the activities of the two promoters in the same cell should be affected simultaneously and correlate with each other; if the memory is generated in cis, they should be independent.

We generated a *swi5*^{-/-} diploid strain with two copies of *HOpr*, one driving GFP and the other driving Venus, both integrated at the native *HO* loci (Fig. 3B; SI Materials and Methods). This strain also contains a *MATa* allele and a MAT-deletion allele so that

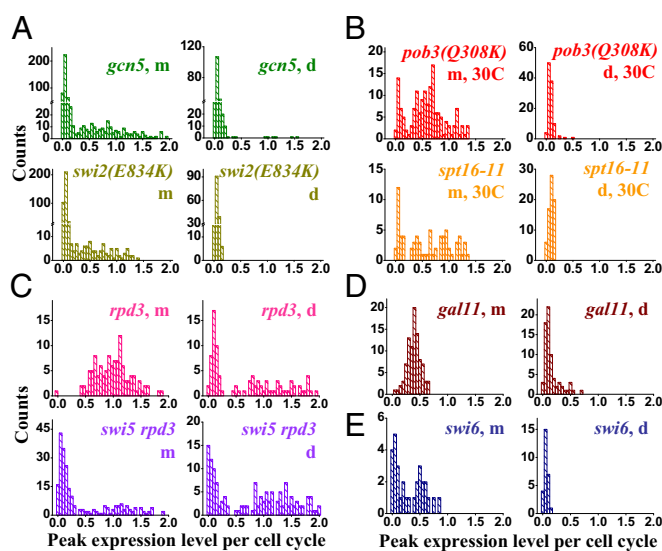
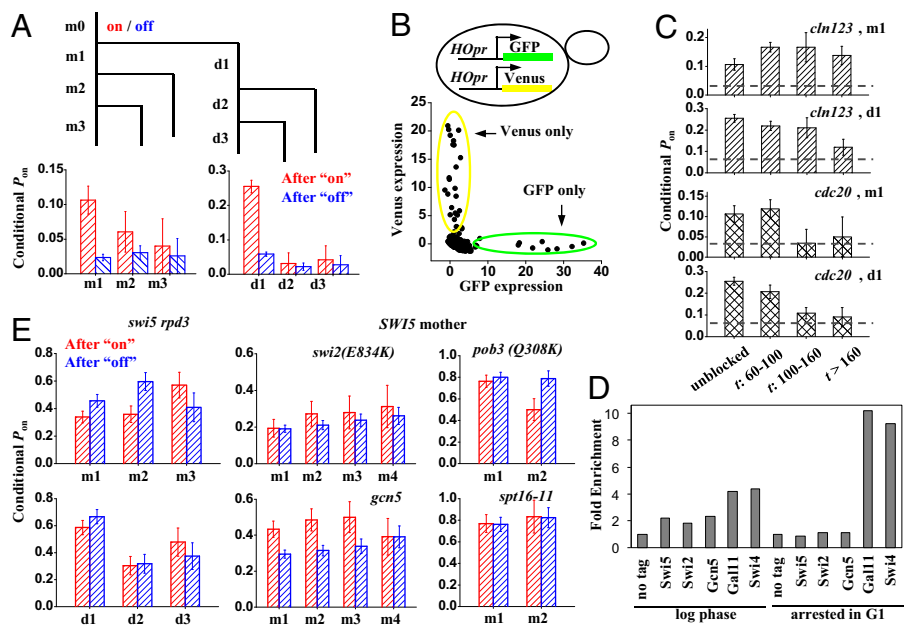


Fig. 2. Effect of transcription cofactors on *HOpr* stochastic expression. Histograms of the *HO* expression amplitude in mother and daughter cycles in the strains of *gcn5* and *swi2(E834K)* (A), *pob3(Q308K)* and *spt16-11* at 30 °C (B), *rdp3* and *swi5 rdp3* (C), *gal11* (D), and *swi6* (E). Note the discontinuity in the y axis in A. Many of these factors significantly affect the frequency of *HO* firing. Statistics are provided in Table 1.

Fig. 3. Memory of *HO* expression in the *swi5* strain. (A) *HO* expression in *swi5* cells exhibits short-term memory. We divided all cell cycles [mother cycle 0 (m0)] as either “on” (red) or “off” (blue). At the end of m0, the cell divided into mother (m; left branch) and daughter (d; right branch). We followed both cells up to three cycles until the end of the movie (m1–m3 and d1–d3) and recorded the “on/off” status of the *HOpr* in each of them. Finally, we calculated the P_{on} in m1–m3 and d1–d3 with either an on or off m0. After an on-cycle, the probability to be on is significantly higher than that after an off-cycle. (B) Coexpression pattern of *HOpr*-GFP and *HOpr*-Venus in *swi5*^{-/-} diploid cells (DY15910). The x axis and y axis for each dot in the plot correspond to the GFP vs. Venus expression level in the same cell cycle (including both mother and daughter). The dots are completely off-diagonal, indicating that the two alleles are activated independently. (C) Memory of *HO* expression in cell cycles delayed in early G1 (yLB79; *cln123*, *MET-CLN2*) or early M (yLB80; *cdc20::MET-CDC20*). The plots show the P_{on} in m1 and d1 following a previous on-cycle in either unblocked cells (first bin) or blocked cells with prolonged cell cycle time *t* (budding-to-budding time). The horizontal lines represent the average P_{on} , and the existence of memory is indicated by bars significantly higher than this baseline. Memory is quickly lost with a delay in G2/M but not in G1. (D) ChIP experiments show stable Mediator (Gal11) and SBF binding to *HOpr* following prolonged G1 arrest. Binding of the indicated factors to *HOpr* was measured by ChIP in growing (log-phase) or arrested *cdc28* cells. (E) Memory of *HO* expression in different genetic backgrounds. In *swi5 rpd3* and all *SWI5* strains (with the exception of *gcn5*), the P_{on} following an on-cycle (red) or an off-cycle (blue) is not significantly different, showing no detectable memory.



HOpr will not be repressed by the $\alpha 1$ - $\alpha 2$ repressor. Both GFP and Venus are turned on sporadically with a P_{on} of $\sim 1\%$ in the mother and 3–5% in the daughter, similar to the *swi5* haploid cells. Importantly, with our limited statistics of 33 activation events, we did not find a single cycle where GFP and Venus are both activated (Fig. 3B). We carried out statistical tests to assess if the expression of GFP and Venus is independent (SI Materials and Methods; Tables S1–S3). Both the Fisher’s exact test and a Bayesian model comparison showed that it is highly probable for GFP and Venus to be activated independently. This result strongly suggests a cis mechanism of the memory generation.

Memory Is Caused by Enhanced SBF Binding After an On-Cycle. To probe the memory mechanism further, we investigated whether the memory loss occurs at a certain cell cycle stage. Our strategy is to lengthen the cell cycle at different phases and examine whether the memory is affected.

We generated two *swi5* strains that go through conditional cell cycle arrest in G1 or G2/M due to methionine-regulated shutoff of either a G1 cyclin or the APC regulator *CDC20*. In a flow cell that can rapidly switch between positive and negative methionine media, we grew individual cells into a microcolony, stalled them at a specific cell cycle stage, and released them into the next cell cycle while monitoring GFP expression in this process (SI Materials and Methods and Movies S2 and S3). During the uninterrupted cycles, both strains displayed the same on/off expression pattern and short-term memory as observed previously (Fig. 3C; “unblocked”), showing that the constitutive expression of G1 cyclin or *CDC20* did not perturb the *HOpr* activation. For cells with prolonged cell cycles, we grouped them according to the budding-to-budding cycle time and quantified the memory for each group. For the cells arrested in G1, the memory does not change significantly with time (Fig. 3C). In contrast, for the cells arrested at G2/M, memory sharply decays to the basal level as the block duration increases (Fig. 3C). This result shows that the memory is quickly lost during early mitosis, before SBF association (22), but not during G1, after SBF association.

The persistent memory in G1 is consistent with an earlier observation that cells can be arrested for days in G1 by nutrient starvation and can still express *HO* when they reenter the mitotic

cell cycle (23). We performed ChIP experiments to examine factors potentially defining memory (Materials and Methods). Fig. 3D clearly shows that there is no Swi5 bound to *HO* after a prolonged G1 arrest; neither are the chromatin factors, including Swi/Snf, Gcn5/SAGA, and FACT. In contrast, both SBF and Gal11 are present at the *HOpr* during the arrest, and their binding is Swi5-dependent. These observations suggest that after initial SBF binding, SBF and a mediator can stay on the promoter for a long time.

The data in Fig. 3C and D support the following scenario: After the first round of activation, there is some local “mark” that facilitates the second round of SBF binding, but this mark has a finite lifetime. As a consequence, the memory of the previous activation can be erased by delaying the next round of SBF binding (the case of G2/M-arrested cells). Once SBF binds, it remains bound to the *HOpr* so that the cell is committed to *HO* expression despite a long G1 arrest.

Memory May Be Related to Histone Acetylation. To understand the molecular nature of the mark that facilitates a second round of SBF binding, we evaluated the *HOpr* memory in different genetic backgrounds. Surprisingly, memory we observed in *swi5* cells completely disappears with the further disruption of Rpd3: In *swi5 rpd3* background, the P_{on} rates following a previous on-cycle are comparable, if not lower, than those after an off-cycle (Fig. 3E). We also quantified memory for strains with intact *SWI5* and some other mutations that lead to variegated *HO* expression in mothers, including *gcn5*, *swi2(E834K)*, *pob3(Q308K)*, and *spt16-11*. There is no detectable memory in these strains except in the *SWI5*⁺ *gcn5* strain (Fig. 3E). The change of memory is unlikely due to the variation of G2/M length: All the mutants here have a comparable doubling time, and some strains showing memory [e.g., *gcn5* (doubling time of 106 min)] divide more slowly than those with no memory, [e.g., *swi5rpd3*, *swi2(E834K)* (doubling time of 92 and 84 min, respectively)]. Because Rpd3 is a histone deacetylase and Gcn5 is a histone acetylase, their effect on the memory suggests that the memory may be related to histone acetylation (Discussion).

Discussion

Two Orthogonal Effects on *HO* Expression. From our single-cell analysis, we found that there are two orthogonal regulation modes of the *HOpr*: Some factors only affect the firing frequency, whereas others only affect the firing amplitude. These different effects can be explained by the model in Fig. 4A. The *HO* activation pathway can be divided into two parts: SBF binding and activation. The former involves a double-negative feedback loop (Fig. 4A, green arrow): Nucleosomes prevent efficient SBF binding, and SBF can recruit factors to evict nucleosomes. This network structure can lead to bimodality in gene expression (24, 25). When we mutate factors exclusively involved in this part of the pathway (Fig. 4A, red), such as *Swi5* and *Ace2*, we are effectively shifting the balance between the two competitive sides, resulting in a change in on/off probability. In contrast, mutations in the factors working downstream of the SBF binding (Fig. 4A, blue) will not change firing frequency; instead, they may affect the recruitment of transcription machinery, and thus the expression level. Some of the factors, including *Swi/Snf* and *FACT*, contribute to both parts of the pathway (Fig. 4A, orange). Mutations in these factors generate a mixed effect on both the firing frequency and amplitude.

This model is further supported by the two following experiments. First, we examined the *HO* expression in the *swi6* strain. SBF contains two subunits, *Swi4* and *Swi6*. In the absence of *Swi6*, *Swi4* can act as a weaker activator for the target genes (26). The model predicts that a partially active SBF would reduce both the firing frequency and amplitude. Indeed, in the *swi6* cells, the P_{on} is reduced to 43% and the expression level during the on-cycle is also reduced to 56% (Fig. 2D). Second, we examined the expression from another promoter, the *CLN2pr*, with SBF binding sites situated in a constitutive NDR. Based on the model, we expected that the *CLN2pr* would be on in all the cell cycles in WT or mutant

background. Also, factors that affect both the firing frequency and amplitude of *HOpr*, such as *Pob3*, *Spt16*, and *Swi6*, will only affect the firing amplitude of the *CLN2pr*. Consistently, in *pob3(Q308K)*, *spt16-11*, and *swi6* strains, the *CLN2pr* is activated in 100% of the cell cycles but the expression amplitude is uniformly reduced to 71%, 79%, and 90%, respectively, of the WT level (Fig. S1).

“Intrinsic” vs. “Extrinsic” Component of Gene Expression Noise. In Fig. 3B, our two-color experiment showed that the two copies of the *HOpr* in the same cell operate independently. This is a case where “intrinsic noise” is significantly higher than “extrinsic noise” (27). Similar observations were made with genes inserted at silent loci (28). However, study of another stochastic promoter in yeast, *GALI-10*, have shown that intrinsic noise only contributes 2–20% of the total noise (29, 30). This discrepancy is unlikely due to methodology, because we reach the same conclusion with time-lapse measurements of *GALI1* promoter-*GFP/Venus* induction. Therefore, the main source of gene expression noise varies from gene to gene. For the *PHO5* and *GALI-10* promoters, we speculate that their activator concentration in the nucleus has large cell-to-cell variation. In contrast, in the case of *HOpr* and silent loci, the expression noise most likely comes from variable local interactions between the activator and chromatin.

Model for the Memory in *HO* Expression. *HOpr* in *swi5* cells exhibits short-lived memory. We found that (i) the memory is caused by a cis mechanism; (ii) the memory reflects enhanced SBF binding after a previous on-cycle; and (iii) deletion of *Rpd3* eliminates the memory in *swi5* cells, and *gcn5* restores the memory in *SWI5* cells.

The memory can be explained by the model shown in Fig. 4B. After an on-cycle, the nucleosomes over *HO* URS2 are completely turned over due to their dissociation during the transcriptional activation (7, 31). For an off-cycle, this dissociation does not occur; however, H3H4 tetramers become one-half new and one-half old during DNA replication (32). Newly synthesized H3 and H4 are acetylated at a number of lysine residues (e.g., K5/K12 of H4), and this acetylation is gradually removed after nucleosome assembly (33–35). Compared with an off-cycle, the *HOpr* that just experienced an on-cycle likely carries more newly deposited histones, and thus more acetylation. This higher histone acetylation may persist by the time SBF is available in the nucleus, leading to a higher SBF binding probability. If the cell cycle is artificially delayed before the presence of SBF, the acetylation level on the *HOpr* will eventually reach its equilibrium level regardless of the initial condition and the memory will be lost. In the absence of *Rpd3*, all the *HOpr*s, whether or not they have been recently transcribed, will be highly acetylated and, again, the memory is eliminated.

This model could also explain the observations in *SWI5* strains. In the presence of both *Swi5* and *Gcn5*, *Gcn5* will be recruited to the *HOpr* and acetylates the nearby histones in almost every cell cycle. Therefore, the original histone acetylation marks are “overwritten,” and any influence from the previous cycle is erased (Fig. S2). That is why there is no memory in the *SWI5 GCN5 swi2 (E834K)*, *pob3(Q308K)*, and *spt16-11* strains. In contrast, in *gcn5* cells, the histone acetylation pattern cannot be reset. The higher acetylation level on *HOpr* following an on-cycle likely facilitates *SWI/SNF* remodeling and SBF binding, leading to an apparent transcriptional memory.

In our model, the memory is a “passive” consequence of slow turnover of acetylated histones. Together with previous results on *CLN2pr* (4), it suggests that expression-permissive chromatin may have an intrinsic potential for memory. Unlike previously proposed histone-based epigenetic inheritance mechanisms (2), the model in Fig. 4B does not involve self-replication or spreading of certain histone modifications or variants.

Physiological Relevance of the Memory in *HO* Expression. The memory is not present in *SWI5 GCN5* backgrounds. Physiologically, the elimination of the memory in *HO* expression is important for WT

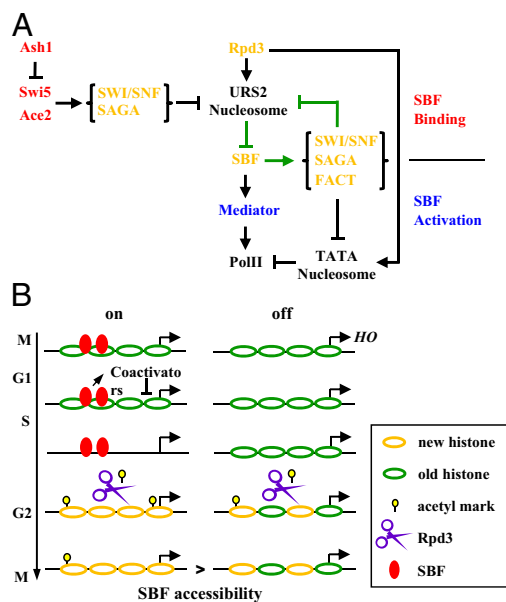


Fig. 4. Model for on/off *HO* expression and its memory. (A) Model for bimodal *HO* expression and the effect from different factors. We propose that the on/off *HO* expression is caused by the mutual exclusion of nucleosome and SBF (green arrow). Factors solely working upstream the SBF binding (red) would regulate the *HO* firing frequency, factors solely working downstream (blue) would regulate the firing amplitude, and factors working both up and downstream (orange) would have a mixed effect on *HO* expression. (B) Model for the memory of *HOpr* expression in *swi5* cells. Based on our experimental results, we hypothesized that differential concentrations of histone acetylation following an on- or off-cycle would lead to the apparent memory. A detailed explanation of the model is provided in the main text. The model in *SWI5* cells is shown in Fig. S2.

yeast. Because of the differential regulation in mother and daughter cycles, regulation of the *HO* gene has evolved to switch its expression mode in one of the progenies on cell division in a largely deterministic fashion. To achieve such a pattern, previous cell cycles should have a minimal effect on subsequent ones, such that each time there is a new and independent decision. We propose that the loss of memory is due to Swi5 binding and the recruitment of Gcn5, which “overwrites” the acetylation mark. This scenario is probably quite general: transcription factors bound in NDR can recruit downstream factors to reshape the chromatin landscape and start a new regulatory program.

For *HO*, memory appears to be counteradaptive; however, for some genes, memory can be beneficial. For instance, for a gene under selection for continuous expression, if the transcription factors temporarily dissociate due to stochastic fluctuation or during mitosis, certain histone marks may encourage them to reassociate with the same locus, thus contributing to the stability of the expression pattern. The memory mechanism we have elucidated here has a short time scale. There are other mechanisms that will generate memory, some of which may have much longer time scales (2, 3, 36).

Materials and Methods

Strains. The strain list is provided in Table S4. All strains were constructed using standard methods, and they are all W303-congenic. The *HO-GFP-NLS-PEST* reporter consists of an in-frame fusion of GFP, the SV40 T-antigen NLS, and the Cln2 destabilization sequence (PEST), all replacing the *HO* ORF. A *HIS3* or *NatMX4* marker was inserted downstream of the *HO* 3' UTR.

Time-Lapse Fluorescence Microscopy and Microfluidic Device. The time-lapse assay was performed using samples growing between a coverslip and an agar

pad (11) or in an Onix microfluidic device, which provides the flexibility of changing up to six media. With the agar pad, we typically made movies for ~8 h, beyond which cells become too clustered and start to grow out of focus. The microfluidic device uses a silicone ceiling with a height similar to that of yeast cells to hold them in place and restrict their growth in a single focal plane so that movies can go on for much longer time (15–20 h). Images were typically acquired every 4 min (5 min for some slow-growing strains). The movies were analyzed using data analysis software written in MATLAB (MathWorks) (12). To get the curve in Fig. 1 *B* and *E*, we tracked each single cell along the movie frames, calculated the average GFP intensity within the cell boundary, plotted it as a function of time, and smoothed the curve. For a given cell cycle, the expression amplitude is calculated as the peak-to-trough difference in the GFP signal, and it is normalized by the average expression amplitude in WT mother cells.

ChIP Experiment. We used strains with a temperature-sensitive mutation in the cyclin-dependent kinase to arrest cells in G1. Compared with nutrient starvation, this method gives much better cell cycle synchrony during the release from arrest (Fig. S3). Cells were grown at a permissive temperature to midlog phase and shifted to the nonpermissive temperature of 37 °C to arrest cells in G1. After 6–10 h at 37 °C, we treated the cells with formaldehyde to cross-link proteins to DNA and performed ChIP experiments to investigate what proteins are bound to *HOpr* at this arrest. ChIPs with Myc-tagged proteins and RNA measurements from bulk cultures were performed as described (37). Strains DY13565 (no tag, *cdc28*), DY12843 (Swi5-Myc *cdc28*), DY8844 (Swi2-Myc *cdc28*), DY13561 (Gcn5-Myc *cdc28*), DY13563 (Gal11-Myc *cdc28*), and DY13576 (Swi4-Myc *cdc28*) were used.

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